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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/723,308

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Henrik H. Jacobi

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EXAMINER

CHOI, FRANK I

ART UNIT

PAPER NUMBER

1616

MAIL DATE

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/723,308	<b>Applicant(s)</b> JACOBI ET AL.	
	<b>Examiner</b> FRANK I. CHOI	<b>Art Unit</b> 1616	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 04 April 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) See Continuation Sheet is/are pending in the application.
- 4a) Of the above claim(s) 69,70,90-95,105,109,132-180,183-192 and 199 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) See Continuation Sheet is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>20080918, 20071016, 20070919, 20070628,</u>                   | 6) <input type="checkbox"/> Other: _____                          |
| <u>20061122, 20061013, 20060131, 20050225, 20040915, 20040701.</u>                     |   |

Continuation of Disposition of Claims: Claims pending in the application are 1, 6-21, 25, 37, 40, 42-71, 81, 83,-85, 90-95, 97-105, 109-112, 114-216.

Continuation of Disposition of Claims: Claims rejected are 1,6-21,25,37,40,42-68,71,81,83-85,97-104,110-112,114-131,181,182,193-198 and 200-216.

## **DETAILED ACTION**

### ***Election/Restrictions***

Applicant's election without traverse of Group I and pollen allergens in the reply filed on 4/4/2008 is acknowledged. Accordingly, claims 1, 6-21, 25, 37, 40, 42-68, 71, 81, 83-85, 97-104, 110-112, 114-131, 181, 182, 193-198, 200-216 will be prosecuted as directed to the elected invention with claims 69, 70, 90-95, 105, 109, 132-180, 183-192, 199 being withdrawn as directed to the non-elected inventions.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 6-21, 25, 37, 40, 42-68, 71, 81, 83-85, 97-104, 110-112, 114-131, 181, 182, 192-198, 200-216 are rejected under 35 U.S.C. 103(a) as being unpatentable over WO 00/61117 in view of Roser et al. (US Pat. 5,762,961), Cho et al., Remington's, Cleland et al., Pradalier and Hordijk et al..

WO 00/61117 discloses a fast-dispersing dosage form comprising a carrier containing fish gelatin and active ingredient (Page 6). It is disclosed that "fast-dispersing dosage form" refers to composition which disintegrate or disperse within 1-60 seconds, preferable 1 to 30 seconds, more preferable 1 to 10 seconds and particularly 2 to 8 seconds after being placed in the oral cavity (Page 7, lines 14-20). It is disclosed that the composition can be prepared as a solid dosage form prepared from a mold (Page 8). It is disclosed that the composition can contain

Art Unit: 1616

other matrix forming agents, such as gums, polysaccharides, etc. and other materials such as mannitol, dextrose, lactose, galactose, trehalose, aluminum silicates, etc. (Page 10). It is disclosed that secondary components can be added such as preservatives, antioxidants, surfactants, viscosity enhancers, coloring agents, flavoring agents, pH modifiers, sweeteners and taste masking agents can be added (Page 11, lines 4-15). It is disclosed that active ingredients include antacids, such as aluminum hydroxide, histamine receptor antagonists, proteins and peptides (Page 11, lines 16, 18, Page 12, line 13, page 18, line 11). It is disclosed that the precise quantity of the active ingredient will vary according to the particular drug selected and the patient's needs but that generally the amount will be about 0.2%-95%, typically from about 1% to about 20%, by weight of the composition of the dried dosage form (Page 19, lines 1-5). Examples are disclosed containing fish gelatin and mannitol formed in pre-formed blister pockets (Page 19, lines 1-20, page 20, lines 1-5).

Roser et al. disclose rapidly soluble tablets, such as molded tablets or tablet triturates that contain trehalose and can contain binders such as starch, gelatin, sugars, gum, wherein the active ingredients include antihistaminics, proteins, natural peptides, antigens, etc. (Abstract, Columns 7, 8).

Cho et al. disclose that addition of mannitol stabilized protein against conformational changes in the presence of adjuvants such as aluminum oxyhydroxide or aluminum phosphate (Abstract).

Remington's disclose that although there are exceptions, 90% of labeled potency is generally recognized as the minimum acceptable potency level (Page 1478). It is disclosed that physical factors such as heat and moisture can initiate or accelerate chemical reactions (Page

Art Unit: 1616

1475). It is disclosed that uniformity of weight, odor, texture, drug and moisture contents and humidity effect are studied during a tablet stability test (Page 1480). It is disclosed that traditionally extensive stability data are collected at the recommended storage temperatures but that elevated temperatures are very valuable in determining the shelf life of a product (Page 1483). It is disclosed that stable tablets retain their original size, shape, weight and color under normal handling and storage conditions through out their shelf life and the in vitro availability of the active ingredients should not change appreciably over time; hence, the effect of mild, uniform and reproducible shaking and tumbling of tablets should be studied (Page 1480).

Cleland et al. disclose that to obtain desired stability during storage for up to 2 years, proteins are often dried to reduce the rate of chemical and physical degradation (Page 311). It is disclosed that sugars have the ability to stabilize proteins during lyophilization and storage (Page 311). It is disclosed the lyophilized cakes prepared and studied had a residual moisture content of 2% or less (Page 312). It is disclosed that the storage of a mannitol containing formulation resulted in less aggregation when the protein was stored at 40 degrees Celsius for 3 months than formulations without sugar, although slightly less than the same concentration of sucrose or trehalose (Page 314). It is disclosed that mannitol can be combined with other sugars such as sucrose or trehalose (Pages 314-316). It is disclosed that the impact of 1-8.4 % residual moisture was studied and it was determined that there was no effect of residual moisture on aggregation during storage at 2-8 or 40 degrees Celsius for 12 months (Page 316). It is disclosed that when sugars were not present in the formulation there were immediate losses to the protein due to chemical degradation whereas addition of sugar prevented or inhibited degradation over the range of residual moisture levels but that at 8.4 % residual moisture the rate of degradation was

Art Unit: 1616

increased, yielding a 19% decrease in the main peak of the protein after 12 months at 40 degrees Celsius (Pages 318, 319).

Pradalier et al. disclose that sublingual tablets have been developed as safer and easier to use formulation for immunotherapy of seasonal rhinoconjunctivitis, asthma and perennial rhinitis (Page 820). The patients in the study were diagnosed with grass pollen season rhinitis, in which some of the patients also had allergic conjunctivitis and mild asthma (Page 820). It is disclosed that the standardized five grass pollen extract was a mixture of orchard grass, meadow grass, ryegrass, sweet vernal grass and timothy grass pollens and that the amount of timothy major allergen in 100 IR/ml extract was 9.5 micrograms/ml (Page 821). It is disclosed that the sublingual route of administration was safe and provided clinical benefits including significant improvements in conjunctivitis symptoms and asthma symptoms (Page 828).

Hordijk et al disclose that patients given 9500 BU of grass pollen extract sublingually resulted in reduction in the severity of allergic complaints (Page 1/12).

WO 00/61117 discloses a fast dispersing molded dosage form comprising a matrix carrier for active agents, such as antihistamines and proteins, containing fish gelatin and other components such as mannitol which dissolves in less than 60 seconds in the mouth. The difference between WO 00/61117 and the claimed invention is that WO 00/61117 does not expressly disclose that the active ingredient is grass pollen allergen where the loss of the allergen content in said dosage form is less than 50% of the initial allergen content after being held for 3 months at 25 degrees Celsius and 60% relative humidity and the loss of allergen content is less than about 0.6 micrograms allergen extract or less than about 0.05 micrograms major allergen when subjected to friability test. However, the prior art amply suggests the same as Remington's

Art Unit: 1616

discloses that product stability is desired in terms of stability, including storage stability, of the active ingredient and solid dosage formulation when the product is subject to handling and transport, humidity and increased temperatures and . Further, Cho et al. and Cleland et al. disclose that addition of sugars such as mannitol prevent or inhibit protein degradation and aggregation in the presence of moisture and increased temperature. Also, Pradalier and Hordijk et al. disclose oral administration of grass pollen allergens are effective in immunotherapy of grass pollen allergies. As such, it would have been well within the skill of and one of ordinary skill in the art would have been motivated to combine and/or modify the prior art as above with the expectation that use of mannitol would inhibit degradation over time of the grass pollen allergen extract in the presence of increased temperatures and humidity, that preparation of solid dosage forms which are stable to shaking and tumbling would inhibit loss of grass pollen allergen extract during handling and transportation and that oral administration of grass pollen allergen extract would be effective for immunotherapy of grass pollen allergies.

Therefore, the claimed invention, as a whole, would have been *prima facie* obvious to one of ordinary skill in the art at the time the invention was made, because every element of the invention has been collectively taught by the combined teachings of the references.

### ***Conclusion***

A facsimile center has been established in Technology Center 1600. The hours of operation are Monday through Friday, 8:45 AM to 4:45 PM. The telecopier number for accessing the facsimile machine is 571-273-8300.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Frank Choi whose telephone number is (571)272-0610. Examiner maintains a compressed schedule and may be reached Monday, Tuesday, Wednesday and Thursday, 6:00 am – 4:30 pm (EST).

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's Supervisor, Johann R. Richter, can be reached at (571)272-0646. Additionally, Technology Center 1600's Receptionist and Customer Service can be reached at (571) 272-1600.



Art Unit: 1616

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Frank Choi  
Patent Examiner  
Technology Center 1600  
December 18, 2008

/Johann R. Richter/

Supervisory Patent Examiner, Art Unit 1616